

# Audit and Governance Committee

**Date:** Monday, 18 January 2021

**Time:** 10.00 am

**Venue:** Please note that, due to the current coronavirus pandemic the Council has reviewed its approach to holding committee meetings. This meeting will be held remotely in a Microsoft Teams Live Event (see link below)

**Membership: (Quorum 3)**

Matthew Hall (Chairman), Richard Biggs (Vice-Chairman), Susan Cocking, Rod Adkins, Janet Dover, Barry Goringe, Mike Parkes, Bill Pipe, Clare Sutton and Bill Trite

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**Chief Executive:** Matt Prosser, South Walks House, South Walks Road, Dorchester, Dorset DT1 1UZ (Sat Nav DT1 1EE)

**For more information about this agenda please contact Susan Dallison on 01305 252216 - [susan.dallison@dorsetcouncil.gov.uk](mailto:susan.dallison@dorsetcouncil.gov.uk)**

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Due to the current coronavirus pandemic the Council has reviewed its approach to holding committee meetings. Members of the public are welcome to attend this meeting and listen to the debate online by using the following link:-

[Audit & Governance Committee](#)

**Members of the public wishing to view the meeting from an iphone, ipad or android phone will need to download the free Microsoft Team App to sign in as a Guest, it is advised to do this at least 30 minutes prior to the start of the meeting.**

**Please note** that public speaking has been suspended. However Public Participation will continue by written submission only. Please see detail set out below.

Dorset Council is committed to being open and transparent in the way it carries out its business whenever possible. A recording of the meeting will be available on the council's website after the event.

**Using social media at virtual meetings**

Anyone can use social media such as tweeting and blogging to report the meeting when it is open to the public.

# A G E N D A

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## 1 APOLOGIES

To receive any apologies for absence.

## 2 MINUTES

5 - 12

To confirm the minutes of the meeting held on 16 November 2020.

## 3 DECLARATIONS OF INTEREST

To receive any declarations of interest.

## 4 PUBLIC PARTICIPATION

To receive questions or statements on the business of the committee from town and parish councils and members of the public.

Public speaking has been suspended for virtual committee meetings during the Covid-19 crisis and public participation will be dealt with through written submissions only.

Members of the public who live, work or represent an organisation within the Dorset Council area, may submit up to two questions or a statement of up to a maximum of 450 words. All submissions must be sent electronically to [susan.dallison@dorsetcouncil.gov.uk](mailto:susan.dallison@dorsetcouncil.gov.uk) by the deadline set out below.

When submitting a question please indicate who the question is for and include your name, address and contact details. Questions and statements received in line with the council's rules for public participation will be published as a supplement to the agenda.

Questions will be read out by an officer of the council and a response given by the appropriate Portfolio Holder or officer at the meeting. All questions, statements and responses will be published in full within the minutes of the meeting.

**The deadline for submission of the full text of a question or statement is 8.30am on Wednesday 13 January 2021.**

- 5 INTERNAL AUDIT 2020/21 PLAN PROGRESS REPORT** 13 - 30
- To consider the 2020/21 Plan Progress Report from SWAP.
- 6 RISK MANAGEMENT UPDATE** 31 - 62
- To consider a report from the Service Manager for Assurance.
- 7 EXTERNAL AUDIT UPDATE: UPDATE ON THE POINTS RAISED BY DELOITTE AT THE MEETING OF THE AUDIT & GOVERNANCE COMMITTEE ON 16 NOVEMBER 2020.**
- To receive a verbal update from the Executive Director for Corporate Development.
- 8 COUNCIL TAX BRIEFING**
- To receive a briefing from the Corporate Director, Finance & Commercial.
- 9 REDMOND REVIEW BRIEFING**
- To receive an update from the Service Manager for Finance Policy & Compliance.
- 10 CONSTITUTIONAL CHANGES**
- To report to the committee on any changes made to the Constitution under powers delegated to the Monitoring Officer.
- 11 FORWARD PLAN** 63 - 68
- To consider the work programme for the Committee.
- 12 URGENT ITEMS**
- To consider any items of business which the Chairman has had prior notification and considers to be urgent pursuant to section 100B (4) b) of the Local Government Act 1972. The reason for the urgency shall be recorded in the minutes.
- 13 EXEMPT BUSINESS**
- To move the exclusion of the press and the public for the following item in view of the likely disclosure of exempt information within the

meaning of paragraph 3 of schedule 12 A to the Local Government Act 1972 (as amended).

The public and the press will be asked to leave the meeting whilst the item of business is considered.

**There is no exempt business.**